

South Dakota Department of Labor and Regulation
Division of Securities
Notice of Public Hearing to Adopt Rules

A public hearing will be held in Suite 104, main floor, 124 S. Euclid Avenue, Pierre, South Dakota (Dave Johnson Insurance Building), on October 20, 2016, at 10:00 a.m., to consider the adoption and amendment of proposed rule numbered

20:08:05:15

The South Dakota Department of Labor & Regulation, Division of Securities is proposing to amend rule 20:08:05:15 in order to clarify and acknowledge that dual investment adviser registration is allowed.

Persons interested in presenting data, opinions, and arguments for or against the proposed rules may do so by appearing in person at the hearing or by sending them to the South Dakota Department of Labor and Regulation, Securities Division, 124 S Euclid Avenue, Suite 104 Pierre, South Dakota 57501-3185. Material sent by mail must reach the Department of Labor and Regulation, Securities Division by October 31, 2016, to be considered.

After the hearing, the department will consider all written and oral comments it receives on the proposed rules. The department may modify or amend a proposed rule at that time to include or exclude matters that are described in this notice.

Notice is further given to individuals with disabilities that this hearing is being held in a physically accessible place. Please notify the Securities Division at least 48 hours before the public hearing if you have special needs for which special arrangements must be made. The telephone number for making special arrangements is (605) 773-4823.

Copies of the proposed rules may be obtained without charge from the
South Dakota Department of Labor and Regulation
Securities Division
124 S Euclid Avenue Suite 104
Pierre, South Dakota 57501-3185